Electronic Acknowledgement Receipt					
EFS ID:	6949931				
Application Number:	10711889				
International Application Number:					
Confirmation Number:	5888				
Title of Invention:	METHOD AND SYSTEM FOR BLOCK TRADING OF SECURITIES				
First Named Inventor/Applicant Name:	Ross L. Stevens				
Customer Number:	69603				
Filer:	Christopher William McAvoy/Sarah Carranceja				
Filer Authorized By:	Christopher William McAvoy				
Attorney Docket Number:	014033-000037				
Receipt Date:	04-FEB-2010				
Filing Date:	12-OCT-2004				
Time Stamp:	16:16:07				
Application Type:	Utility under 35 USC 111(a)				
Payment information:					

Submitted with Payment	yes
Payment Type	Electronic Funds Transfer
Payment was successfully received in RAM	\$1840
RAM confirmation Number	623
Deposit Account	
Authorized User	

File Listing:

Document Number	Document Description	File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)
--------------------	----------------------	-----------	-------------------------------------	---------------------	---------------------

1 Issue Fee Payment (PTO-85B)	037- Issue Fee Transmittal - 020410. pdf	89569	no	1			
		462212cca2815d34a08a1172eb95234a31d ecd51					
Warnings:							
Information:							
2 Fee Worksheet (PTO-875)	fee-info.pdf	33908	no	2			
		bb88253a7fa850a4d5dc8d07e9027881983 de04c					
Warnings:							
Information:	}						
		Total Files Size (in bytes)	123477				

This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.

New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.